

**METHOD AND APPARATUS FOR SELECTIVE
EXAMINATION OF PPP PACKETS FOR
RENEGOTIATION OF A PPP LINK ON A U_m INTERFACE**

BACKGROUND

Field

[1001] The present invention relates to the field of wireless data services. More particularly, the present invention relates to a novel and improved method and system for efficiently re-synchronizing a Point-to-Point Protocol (PPP) link over a U_m interface between a wireless communication device (MT2) and a Base Station/Mobile Switching Center (BS/MSC) or Radio Network (RN).

Background

[1002] Internetworking, i.e., the connection of individual Local Area Networks (LANs), has rapidly become very popular. The infrastructure and associated protocols commonly referred to as the "Internet" have become well known and widely used. A well-known protocol for providing access to the Internet is the Point-to-Point Protocol (PPP) which provides a standard method for transporting multi-protocol datagrams over point-to-point and is further described in Request for Comment (RFC) 1661, Network Working Group, published July 1994, herein incorporated by reference.

[1003] PPP includes three main components:

- [1004]** 1. A method of encapsulating multi-protocol datagrams;
- [1005]** 2. A Link Control Protocol (LCP) for establishing, configuring, and testing a data link connection; and
- [1006]** 3. A family of Network Control Protocols (NCPs) for establishing and configuring different network-layer protocols.

[1007] When a wireless communication device (MT2) is in connected state with the same Interworking Function (IWF) or Packet Data Serving Node (PDSN), normally there would be no need for PPP renegotiation. However, because the wireless communication device (MT2) is mobile, the wireless communication device (MT2) may move to an area that is served by a new IWF or PDSN. When this happens, the LCP and IPCP links need to be renegotiated over the U_m interface. Examining every PPP packet that passes through the MT2, to determine whether PPP option renegotiation is required on the U_m interface, may be CPU intensive, especially at high data rates. Because PPP negotiation for the R_m and U_m interfaces are independent, PPP renegotiation need only occur on the U_m interface.

[1008] There is therefore a need in the art for efficient PPP renegotiation that examines only selective PPP packets to determine whether PPP re-synchronization on the U_m interface is required.

SUMMARY

[1009] According to one aspect of the present invention, an efficient PPP renegotiation scheme for a U_m interface is provided that does not require examining all PPP packets for renegotiation once a PPP connection has been established. According to another aspect of the invention, an established PPP link may be renegotiated when a trigger indicates a need for PPP renegotiation. The triggers may include an RLP reset, indicating that the MT2 has been handed off to a new BS/MSC or RN; a signaling message such as UHDM or GHDM indicating a handoff; and coming out of dormancy, indicating that RLP is re-established.

[1010] Thus, when one of the above triggers occurs, the U_m interface may undergo PPP configuration renegotiation only when necessary, without causing the R_m interface also to undergo PPP configuration renegotiation.

BRIEF DESCRIPTION OF THE DRAWINGS

[1011] These and other advantages will become more apparent from the detailed description of the preferred embodiments along with the following drawings:

[1012] FIG. 1-A and 1-B illustrate a high-level block diagram of wireless data communication systems in which a terminal device connects to a network, such as the Internet, via a wireless communication device;

[1013] FIG. 2 is a diagram of the protocol stacks of each entity in FIGs. 1A, 1B;

[1014] FIG. 3 is a state transition diagram that illustrates the state transitions for PPP option negotiation;

[1015] FIG. 4 illustrates the operation of the invention when PPP link on the U_m interface is renegotiated;

[1016] FIG. 5 is a flow diagram that illustrates when PPP renegotiation is required according to a first embodiment of the invention;

[1017] FIG. 6 is a flow diagram that illustrates when PPP renegotiation is required according to a second embodiment of the invention; and

[1018] FIG. 7 is a flow diagram that illustrates when PPP renegotiation is required according to a third embodiment of the invention.

DETAILED DESCRIPTION

[1019] FIG. 1-A illustrates an exemplary high-level block diagram of a wireless data communication system in which a mobile terminal (TE2 device) 102 communicates with the Interworking Function (IWF) 108 via a wireless communication system, which includes a wireless communication device (MT2) 104 and Base Station/Mobile Switching Center (BS/MSC) 106. In FIG. 1-A, the IWF 108 serves as the access point to the Internet. IWF 108 is coupled to, and may be co-located with, BS/MSC 106, which may be a conventional wireless base station, as is known in the art. TE2 device 102, which may include a mobile

terminal, laptop or palmtop computer, is coupled to MT2 device 104, which may be a cellular phone in wireless communication with BS/MSC 106 and IWF 108.

[1020] Many protocols exist that allow data communication between the TE2 device 102 and the IWF 108. For example, Telecommunications Industry Association (TIA)/Electronics Industries Association (EIA) Interim Standard IS-707.5, entitled "Data Service Options for Wideband Spread Spectrum Systems: Packet Data Services," published February 1998, IS-707-A, entitled "Data Service Options for Wideband Spread Spectrum Systems," published April 1999, IS-707-A-1, entitled "Data Service Options for Wideband Spread Spectrum Systems – Addendum 1," published December 1999, and IS-707-A-2, entitled "Data Service Options for Wideband Spread Spectrum Systems – Addendum 2," published March 2001, herein incorporated by reference, define requirements for support of packet data transmission capability on CDMA wideband spread spectrum systems, of which BS/MSC 106 and IWF 108 may be a part. The above standards also provide the requirements for communication protocols on the links between the TE2 device 102 and the MT2 device 104 (the R_m interface), between the MT2 device 104 and the BS/MSC 106 (the U_m interface), and between the BS/MSC 106 and the IWF 108 (the L interface).

[1021] Alternatively, TIA/EIA Interim Standard IS-835, entitled "cdma2000 Wireless IP Network Standard," published December 2000, and herein incorporated by reference, defines requirements for support of packet data networking capability on a third generation wireless system. In such an embodiment, the BS/MSC 106 may be replaced with a Radio Network (RN) and IWF 108 may be replaced with a Packet Data Serving Node (PDSN). IS-835 also provides the requirements for communication protocols on the links between the TE2 device 102 and the MT2 device 104 (the R_m interface), between the MT2 device 104 and the RN 106 (the U_m interface), and between the RN 106 and the PDSN 108 (the R-P interface).

FIG. 1-B illustrates an exemplary high-level block diagram of the wireless communication device (MT2 device) 104 and Base Station/Mobile Switching Center (BS/MSC) 106 of FIG. 1-A. Each of these elements may include a

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processor 110, a storage device 112, a receiver 114, and a transmitter 116. The processors 110 may be configured to detect a trigger indicating whether the remote station is associated with a BS/MS or RN 106. The processors 110 may be further adapted to determine whether the BS/MS or RN is associated with a new IWF or PDSN 108. The memory device 112 may contain instructions and data for conducting the processes that may be conducted by the processors 110. The receivers 114 may be adapted to receive PPP re-synchronization signals, such as configuration request and acknowledge messages. The transmitters 116 may also be adapted to send PPP re-synchronization signals, such as configuration request and acknowledge messages.

[1022] Referring now to FIG. 2, a diagram of the protocol stacks in each entity in FIG. 1-A according to the IS-707.5 standard is shown. At the far left of the figure, a protocol stack, shown in vertical format, shows the protocol layers running on the TE2 device 102. The TE2 protocol stack is illustrated as being logically connected to the MT2 protocol stack over the R_m interface. The MT2 device 104 is illustrated as being logically connected to the BS/MS protocol stack over the U_m interface. The BS/MS protocol stack is illustrated as being logically connected to the IWF 108 protocol stack over the L interface.

[1023] Alternatively, FIG. 2 may show the protocol stacks in each entity in FIG. 1-A according to the IS-835 standard. The TE2 protocol stack may be logically connected to the MT2 protocol stack over the R_m interface. The MT2 device 104 may be logically connected to the RN protocol stack over the U_m interface. The RN protocol stack may, in turn, be logically connected to the PDSN protocol stack over the R-P interface.

[1024] As an example of the operation of the protocols of FIG. 2, the PPP_R protocol 206 encodes packets from the upper layer protocols 202, 204 and transmits them across the R_m interface using the EIA-232 protocol 208 to the EIA-232-compatible port on the MT2 device running the EIA-232 protocol 210. The present invention is not intended to be limited to a system that uses the EIA-232 protocol since, as is well known in the art, other suitable protocols, such as USB and Bluetooth, may be used. The EIA-232 protocol 210 on the MT2 device

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receives the packets and passes them to the PPP_R protocol 205. The PPP_R protocol 205 unframes the received packets encapsulated in PPP frames and, when a data connection is up, passes the packets to PPP_U protocol 215, which frames the packets in PPP frames for transmission to a PPP peer located in the IWF (108). The Radio Link Protocol (RLP) 212 and IS-95 or CDMA2000 protocol 214, both of which are well known in the art, may be used to transmit the packets, which are encapsulated in PPP frames, to the BS/MSC or RN 106 over the U_m interface.

[1025] The RLP protocol 212 is defined in TIA/EIA/IS-707.2, entitled "Data Service Options for Wideband Spread Spectrum Systems: Radio Link Protocol", published February 1998, which is incorporated herein by reference. A complementary RLP protocol 216 and IS-95 or CDMA2000 protocol 218 in the BS/MSC or RN 106 pass the packets to the relay layer protocol 220 for transmission across the L or R-P interface to relay layer protocol 228. R-P Interface is defined as the A10 and A11 interfaces of the TIA/EIA/IS2001 standard, which is herein incorporated by reference. PPP_U protocol 226 then unframes the received packets and passes them to the network layer protocols 225, which in turn send them out on the Internet to the designation server.

[1026] As described in RFC 1661, the Link Control Protocol (LCP) packets comprise a configure-request, a configure-ack, a configure-nak, and a configure-reject. The format of these packets is well known and described in RFC 1661.

[1027] The configure-request packet is used to negotiate configuration options. The requested configuration options, in one or both directions of a link, may be negotiated simultaneously.

[1028] The configuration-ack packet is transmitted if every configuration option in a received configuration-request packet is recognizable and all values are acceptable.

[1029] The configure-nak packet is sent in response to a configuration-request packet when the requested configuration options are recognizable, but some of the values are not acceptable. The options field of the configure-nak packet is filled

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only with the unacceptable configuration options from the configure-request packet. The configuration options may be "nak'ed" simultaneously.

[1030] The configure-reject packet is sent when a received configure-request includes configuration options that are unrecognizable or are not acceptable for negotiation. The options field of the configure-reject contains only the unacceptable configuration options from the configure-request.

[1031] The following comprises the well-known configuration options, described in RFC 1661, and defined for the PPP LCP protocol:

[1032] 1. Maximum-Receive-Unit

[1033] 2. Authentication-Protocol

[1034] 3. Quality-Protocol

[1035] 4. Magic-Number

[1036] 5. Protocol-Field-Compression

[1037] 6. Address-and-Control-Field-Compression

Internet Protocol Control Protocol (IPCP) is a network control protocol responsible for configuring, enabling, and disabling Internet Protocol (IP) modules on both ends of the PPP link. IPCP is described in Request for Comment (RFC) 1332, "The PPP Internet Protocol Control Protocol (IPCP)", Network Working Group, published May 1992, which is herein incorporated by reference. IPCP configuration options may include IP-Addresses and IP-Compression-Protocol. IPCP uses the same option negotiation mechanism as the Link Control Protocol (LCP).

[1038] LCP and IPCP configuration option negotiations may occur separately for both the R_m interface and the U_m interface. That is, LCP or IPCP configuration option negotiation over one of the R_m and U_m interfaces is separate from LCP or IPCP configuration option negotiation over the other of the R_m and U_m interfaces. Therefore, the wireless communication device (MT2) may separately negotiate configuration options over the R_m and U_m interfaces.

[1039] To establish communications over a PPP link, LCP packets for establishing, configuring and testing the data link connection may be exchanged over each PPP link, i.e., the R_m and U_m interfaces. The options that are not negotiated may use a predefined default value, as specified by RFC 1661.

[1041] As described above, LCP Packets and IPCP packets may include a configure-request, a configure-ack, a configure-nak, and a configure-reject. The format of these packets is well known and described in RFC 1661 and RFC 1332, respectively.

[1042] Configuration option negotiations may occur separately for both the R_m interface and the U_m interface. As described in RFC 1661 and RFC 1332, the configure-request packet contains a list of the options being requested and the configuration-ack packet contains a list of the options that the sender may acknowledge.

[1043] In order to simplify processing and achieve greater efficiency of processing, as a result of renegotiating the PPP options, the newly negotiated options may be the same as the PPP options used prior to renegotiation. In the event that the newly negotiated PPP options are not the same as the PPP options used prior to renegotiation, the MT2 device may perform additional processing such as described in a co-pending patent application entitled "Selectively Unframing and Framing PPP Packets Depending On Negotiated Options of the U_m and R_m Interfaces", having Serial No. 09/353,109, filed July 14, 1999, which is assigned to the same assignee and herein incorporated by reference.

[1044] According to one embodiment of the present invention, PPP options may be negotiated during a call setup process. FIG. 3 illustrates a state transition diagram according to one embodiment of the invention. Initially, PPP is in the "Out of Call" state 300. When an LCP packet is received in the MT2 device 104 from either the U_m or R_m interface, PPP enters the "R_m and U_m PPP Initialization" state 310. In this state, PPP option negotiation occurs on both the R_m and U_m interfaces. When LCP configuration negotiations are complete, then IPCP configuration negotiations are performed. When IPCP negotiations are completed, PPP enters the "PPP Up" state 320. After option negotiation is completed on both the R_m and

U_m interfaces, and data transfer is taking place in "PPP Up" state 300, if a trigger occurs on the U_m interface, a re-synchronization operation as described below may be performed. According to one embodiment of the present invention, while two peers are continuously connected in the "PPP Up" state 320, no PPP renegotiation may be needed unless a new call set up is required, which requires going to states 300 through 320, or a trigger that indicates a need for PPP renegotiation is detected. Upon detecting such an indication that PPP renegotiation is necessary, packet data transfer may be suspended and the " U_m PPP Resync" state 330 may be entered. In the " U_m PPP Resync" state 330, the MT2 device 104 may renegotiate the LCP and IPCP options. When IPCP option negotiations are completed, the "PPP Up" state 320 is again reentered and data transfer may take place again.

[1045] Fig. 4 provides an exemplary operation of the " U_m PPP Resynch" state 330 in FIG. 3, after a trigger indicating a need for PPP renegotiation on U_m interface is detected. In message 410, the BSC/MSC or RN 106 sends an LCP configure-request packet over the U_m interface to the MT2 device 104. The MT2 device receives the LCP configure-request packet while in the "PPP Up" state 320, enters the " U_m PPP Resync" state 330, and at reference numeral 412, sends an LCP configure-ack packet. In message 414, the MT2 device sends an LCP configure-request packet and, in message 416, the MT2 device receives an LCP configure-ack packet from the BS/MSC or RN 106. At this point the LCP configuration options for both ends of the U_m interface have been successfully negotiated.

[1046] In message 420, the BS/MSC or RN 106 sends an IPCP configure-request packet to the MT2 device. The MT2 device receives the IPCP configure-request packet and, in message 422, responds with an IPCP configure-ack packet. In message 424, the MT2 device sends an IPCP configure-request packet. In message 426, the MT2 device receives an IPCP configure-ack packet from the BS/MSC or RN. At this point IPCP negotiations are complete and the MT2 device enters the "PPP Up" state 320. Thus, the U_m interface may be renegotiated without affecting the R_m interface.

[1047] In one embodiment, the triggers that indicate a need for PPP re-synchronization on the U_m interface may include an RLP reset, indicating that the MT2 has been associated with a new BS/MSC; a signaling message, such as UHDM or GHDM, indicating a handoff in which the MT2/TE2 is associated with a new BS/MSC; or coming out of dormancy, indicating that RLP is re-established.

[1048] FIG. 5 shows, in accordance with one embodiment, when PPP renegotiation on the U_m interface may be required depending on whether an RLP-reset trigger, indicating that the MT2/TE2 is associated with a new BS/MSC or RN, is detected 506. While in the "PPP Up" state 502, if no RLP reset is detected, indicating that the MT2 is associated with the same BS/MSC or RN 106, no PPP renegotiation may be required 504. If, however, an RLP reset is detected 506, indicating that the MT2 104 is associated with a new BS/MSC or RN 106, it may be determined 508 whether the new BS/MSC or RN 106 is associated with a new network server, such as IWF or PSDN 108. If the new BS/MSC or RN 106 is associated with a new IWF or PSDN 108, PPP renegotiation may be performed 510 on the U_m interface, as described above in reference to FIG. 4. If, however, the new BS/MSC or RN 106 is not associated 508 with a new IWF or PSDN 108, no PPP renegotiation is required 504.

[1049] In one embodiment, examining the first packet received from the network after an RLP reset may indicate the identification of the new IWF or PSDN 108. If the first packet is a PPP control packet, which may include an LCP and/or IPCP configuration request, the MT2 104 is associated with a new IWF or PSDN 108.

[1050] FIG. 6 shows, in accordance with one embodiment, when PPP renegotiation on the U_m interface may be required depending on whether a handoff signaling message is detected. In one exemplary embodiment, such messages may include Universal Handoff Direction Message (UHDM) or General Handoff Direction Message (GHDM). Because the wireless communication (MT2) device 104 is typically mobile, communications between the MT2 device 104 and a BSC/MSC or RN 106 may be handed off to another BSC/MSC or RN 106, depending on the location of the MT2. Handoff techniques are well known in the art. Exemplary handoff techniques are described in U.S. Patent No. 5,267,162,

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[1051] While in "PPP Up" state 602, if no handoff signaling message is detected 606, indicating that the MT2 104 is associated with the same BS/MSC or RN 106, no PPP renegotiation is required 604. If, however, a handoff-signaling message is detected 606, indicating that the MT2 104 is associated with a new BS/MSC or RN 106, it may be determined 608 whether the new BS/MSC or RN 106 is associated with a new IWF or PSDN 108. If the new BS/MSC or RN 106 is associated with a new IWF or PSDN 108, PPP renegotiation may be performed 610 on the U_m interface, as described above in reference to FIG. 4. If, however, the new BS/MSC or RN 106 is not associated 608 with a new IWF or PSDN 108, no PPP renegotiation is required 604.

[1052] FIG. 7 shows, in accordance with one embodiment, when PPP renegotiation on the U_m interface may be required depending on whether an indication of coming out of dormancy is detected. Dormancy state may be defined as when PPP is established between the TE2/MT2 and IWF or PDSN, but no radio resources are available, i.e., there is no traffic channel. During the dormant state, MT2 104 may have been associated with a new BS/MSC or RN 106. Examining when MT2 104 has data to send or to receive, or when the MT2 104 is paged by a BS/MSC or RN 106, may detect coming out of dormancy. While in "PPP up" state 602, if no indication is received 706 that the system is coming out of dormancy, no PPP renegotiation is required 704. If, however, an indication is received 706 that the system is coming out of dormancy, it is determined 708 whether the MT2 104 is associated with a new IWF or PDSN 108. If the MT2 104 is associated with a new IWF or PDSN 108, PPP renegotiation may be performed 710 on the U_m interface, as described above in reference to FIG. 4. If, however, the MT2 104 is not associated 708 with a new IWF or PDSN 108, no PPP renegotiation is required 704.

[1053] Thus, by examining only selective PPP packets to determine whether PPP renegotiation is required, the disclosed embodiments provide an efficient scheme for PPP renegotiation on U_m interface.

[1054] Those of skill in the art would understand that information and signals may be represented using any of a variety of different technologies and techniques. For example, data, instructions, commands, information, signals, bits, symbols, and chips that may be referenced throughout the above description may be represented by voltages, currents, electromagnetic waves, magnetic fields or particles, optical fields or particles, or any combination thereof. The word "exemplary" is used exclusively herein to mean "serving as an example, instance, or illustration." Any embodiment described herein as "exemplary" is not necessarily to be construed as preferred or advantageous over other embodiments.

[1055] Those of skill would further appreciate that the various illustrative logical blocks, modules, circuits, and algorithm steps described in connection with the embodiments disclosed herein may be implemented as electronic hardware, computer software, or combinations of both. To clearly illustrate this interchangeability of hardware and software, various illustrative components, blocks, modules, circuits, and steps have been described above generally in terms of their functionality. Whether such functionality is implemented as hardware or software depends upon the particular application and design constraints imposed on the overall system. Skilled artisans may implement the described functionality in varying ways for each particular application, but such implementation decisions should not be interpreted as causing a departure from the scope of the present invention. The various illustrative logical blocks, modules, and circuits described in connection with the embodiments disclosed herein may be implemented or performed with a general purpose processor, a digital signal processor (DSP), an application specific integrated circuit (ASIC), a field programmable gate array (FPGA) or other programmable logic device, discrete gate or transistor logic, discrete hardware components, or any combination thereof designed to perform the functions described herein. A general-purpose processor may be a

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microprocessor, but in the alternative, the processor may be any conventional processor, controller, microcontroller, or state machine. A processor may also be implemented as a combination of computing devices, e.g., a combination of a DSP and a microprocessor, a plurality of microprocessors, one or more microprocessors in conjunction with a DSP core, or any other such configuration.

[1056] The steps of a method or algorithm described in connection with the embodiments disclosed herein may be embodied directly in hardware, in a software module executed by a processor, or in a combination of the two. A software module may reside in RAM memory, flash memory, ROM memory, EPROM memory, EEPROM memory, registers, hard disk, a removable disk, a CD-ROM, or any other form of storage medium known in the art. An exemplary storage medium is coupled to the processor such that the processor can read information from, and write information to, the storage medium. In the alternative, the storage medium may be integral to the processor. The processor and the storage medium may reside in an ASIC. The ASIC may reside in a user terminal. In the alternative, the processor and the storage medium may reside as discrete components in a user terminal.

[1057] The previous description of the disclosed embodiments is provided to enable any person skilled in the art to make or use the present invention. Various modifications to these embodiments will be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other embodiments without departing from the spirit or scope of the invention. Thus, the present invention is not intended to be limited to the embodiments shown herein but is to be accorded the widest scope consistent with the principles and novel features disclosed herein.

[1058] **WHAT IS CLAIMED IS:**